



**IBEX**  
WEALTH ADVISORS  
Registered Investment Advisor

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## IBEX WEALTH ADVISORS PRIVACY NOTICE REGARDING CLIENT PRIVACY AS REQUIRED BY REGULATION S-P AND REGULATION S-AM

IBEX Wealth Advisors and its employees are committed to protecting your privacy and safeguarding your personal information.

### [IBEX Wealth Advisors Privacy Policy](#)

We are required to disclose our Privacy Policy and how we collect and protect your personal information. This Privacy Policy describes our practices and policy for collecting, sharing, and protecting the personal information of our prospective, current, and former clients.

### [Information We Collect](#)

The types of personal information we collect, and use varies depending on the products and services you select. We collect personal information that enables us to serve your financial needs, develop and offer new products and services, and fulfill legal and regulatory requirements. Depending on the products or services you request, we obtain personal information about you including personal information, such as your name, address, phone numbers, gender, date of birth, social security numbers signature and professional credentials or background provided on applications and other forms we receive from you.

### [Sharing of Personal Information](#)

Ibex Wealth Advisors is committed to the protection and privacy of its clients' and clients' personal and financial information. We may share your personal information with our affiliates so that they may process and service your transactions. However, IBEX Wealth Advisors does not sell customer lists to third-party telemarketers, direct mail marketers, or electronic mail marketers. Further, we do not disclose personal information to nonaffiliated third parties, except as required by law or as permitted by law to service your account, such as:

- Third-party service providers that assist us in servicing your accounts such as securities brokers and custodians.
- To governmental agencies, self-regulatory organizations, and law enforcement officials to comply with regulatory inquiries and valid subpoenas and court orders.

### [Regulation S-AM](#)

Under Regulation S-AM, a registered investment adviser is prohibited from using eligible information that is received from an affiliate to make a marketing solicitation unless: (1) the potential marketing use of the information has been clearly, conspicuously and concisely disclosed to the consumer, (2) the consumer has been provided a reasonable opportunity and simple method to opt out of receiving the marketing solicitation; and (3) the consumer has not opted out.

**CONSISTENCY | CLARITY | CONVICTION**

Securities offered through LPL Financial | Member FINRA/SIPC

Investment advice offered through IBEX Wealth Advisors, a Registered Investment Advisor and separate entity from LPL Financial

### Our Security Policy

We have procedures in place that we believe are reasonably designed to protect your personal information from unauthorized access. These include confidentiality agreements with companies we hire to provide services to us, password protected user access to our computer files, training of our employees, strict confidentiality policies that apply to all employees and secure access to buildings. Nevertheless, due to the internet and internet technologies, security and privacy risks cannot be eliminated, and we cannot guarantee that information will not be disclosed in ways not otherwise described in this privacy policy.

### Closed or Inactive Accounts

If you decide to close your account(s) or become an inactive customer, our Privacy Policy will continue to apply to you.

### Questions and Complaint Notification

Please direct questions concerning this Privacy Policy or complaints to James J. Varaklis at IBEX Wealth Advisors, 135 Route 202 206, Suite 4, Bedminster, NJ 07921.

The Federal Trade Commission works for the consumer to prevent fraudulent, deceptive, or unfair business practices in the marketplace. You may also address complaints to the Federal Trade Commission at [ftc.gov](http://ftc.gov) or call toll free at 1-877-FTC-HELP (1-877-382-4357).

### Changes to Our Privacy Policy

If we make any substantial changes in the way we use or disseminate confidential information, we will notify you.

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